



PVM Regulatory Information

PVM Oil Associates Ltd. and PVM Oil Futures Ltd. are authorised and regulated by the Financial Services Authority

PVM Oil Associates Ltd., PVM Oil Futures Ltd., PVM Futures Inc, PVM Oil Associates Pte. Ltd. and PVM Oil Futures Pte. Ltd. are all Authorised by the National Futures Association.

PVM Oil Futures Pte. Ltd. has a Capital Markets Service License issued by the Monetary Authority of Singapore.

MiFID

The Markets in Financial Instruments Directive 2004/39/EC ("MiFID") came into effect, in the UK from 1st November 2007. This has brought changes to the conduct of regulated financial services business in Europe. Its objectives are of a pan-European market in investment services through the establishment of a single set of European regulatory rules.

We would like to draw your attention to the following documents:

PVM Execution Policy

This document details how we handle orders for our customers and strive to obtain the best possible outcome each time we deal on their behalf.

PVM Execution Policy

Role of Name-Passing Brokers

This should be read in conjunction with the Execution policy. This gives detail to the role PVM plays when acting on your behalf.

Role of the Name Passing Broker

Execution Venues to which PVM has access to:

ICE Futures Europe Limited – ICE

Chicago Mercantile Exchange - CME

New York Mercantile Exchange – NYMEX

Dubai Mercantile Exchange – DME

European Energy Exchange - EEX

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Part of TP ICAP group



Legal Entity Identifiers

Official Entity Name: PVM OIL ASSOCIATES LTD

LEI: 2138001939R54S3QAM24

Official Entity Name: PVM OIL FUTURES LTD

LEI: 213800IBHG6SEZ2SR747

Official Entity Name: PVM FUTURES INC.

LEI: 5493001VIV2FFX8HYY23

Official Entity Name: PVM OIL ASSOCIATES PTE. LTD.

LEI: 213800PXUGPWZH3QED16

Official Entity Name: PVM OIL FUTURES PTE. LTD.

LEI: 213800FXX9OKBM6ZDU81

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